TRÀNSMITT	Docket No. 0SBX-100754							
In Re Application	Alejanon Berlagni et al.		ı					
Serial No	Filing Date	Examiner	Group Art Unit					
10/696,721	10/27/2003	Not yet assigned	Not yet assigned					
Title: PLANAR	DIAPHRAGM LOUDSPEAKER AND R	ELATED METHODS						
Address to: Assistant Commissioner for Patents Washington, D.C. 20231								
	37 0	FR 1.97(b)						
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
	37 0	FR 1.97(c)						
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:								
🐔 🗆 the	e statement specified in 37 CFR 1.97(e)							
13	OR	· .	·					
औ □ the	e fee set forth in 37 CFR 1.17(p).	•						
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TRANSMITTAL OF INFORMATION DISCLO (Under 37 CFR 1.97(b) or 1.97	Docket No. 0SBX-100754		
In Re Application: Alejandro Bertagni et al.			
Serial No. Filing Date	Examiner	Group Art Unit	
10/696,721 10/27/2003	Not yet assigned	Not yet assigned	
PLANAR DIAPHRAGM LOUDSPEAKER AND R	ELATED METHODS		
, -	nent of Fee octs to pay the fee set forth in 37 CFR 1	.17(p))	
□ A check in the amount of is atta □ The Assistant Commissioner is hereby authorized as described below. A duplicate copy of this sheet □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. Certificate of Transmission by Facsimile* □ I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F □ (Date) Signature	to charge and credit Deposit Accet is enclosed. Certificate of Mailing by I certify that this document and	y First Class Mail I fee is being deposited the U.S. Postal Service 7 C.F.R. 1.8 and is mmissioner for Patents,	
Typed or Printed Name of Person Signing Certificate	Typed or Printed Name of Pers	son Mailing Certificate	
*This certificate may only be used if paying by deposit account. Signature Kyriacos Tsircou, Esquire Registration No. 53,705 Sheppard, Mullin, Richter & Hampton LLP 333 South Hope Street, 48th Floor Los Angeles, California 90071-1448 (213) 620-1780	Dated: January 26, 2004		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/696,721

Applicants:

Alejandro Bertagni et al.

Filed:

October 27, 2003

Title:

PLANAR DIAPHRAGM LOUDSPEAKER AND

RELATED METHODS

Group Art Unit:

Not yet assigned

Examiner:

Not yet assigned

Docket No.:

0SBX-100754

Date:

January 26, 2004

Commissioner for Patents
Post Office Box 1450

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants submit the enclosed copies of references that might be deemed material to the examination of the above-identified application. The documents are identified on the attached form PTO-A820, "Information Disclosure Citation."

Applicants respectfully request that the identified document be considered in the examination of this application, and that its consideration be made of written record in the application file.

Respectfully submitted,

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

Bv:

Kyriacos Psircou

Registration No. 53,705

333 South Hope Street, 48th Floor Los Angeles, California 90071 (213) 617-1780

INFORMATION DISCLOSORE CITATION

SERIAL NO. ATTY DOCKET NO. 0SBX-100754 10/696,721

	(Use several sheets if neces	(Y)		Alejandro Bertagn				
JAN 3 0 2004 W			FILING 10/27/20		GROUP Not yet assigned			
		U.:	S. PATENT	DOCUMENTS				-
*EXAMINER	DOCUMENT NUMBER DATE		NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	3,046,362	7/24/1962	White		179	115.5		
	3,596,733	8/3/1971	Bertagi	ni	181	32		
·	3,722,617	3/27/1973	Bertagi	ni	181	32		
	3,737,005	6/5/1973	Tontini		181	33		
	3,779,336	12/18/1973	Bertagi	Bertagni		31		
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·	3,801,943	4/2/1974	Bertagi	ni	335	231		
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	4,003,449 1/18/1977		Bertagni		181	173		
	4,013,846	3/22/1977	Krawcz	zak et al.	179 115.5			
		FORE	IGN PATE	ENT DOCUMENTS	•			
DOCUMENT NUMBER		DATE	COUNTRY		CLASS	SUBCLASS	TRANS	LATION NO
	JP59004400	1/11/1984	Japan					
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	OTHER DOCUM	ENTS (Includir	ng Authoi	r, Title, Date, Pertine	ent Pages, Etc.)	•		
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EXAMINER				DATE CONSIDERED				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PE			ATTY DOCKET NO. 0SBX-100754		SERIAL NO. 10/696,721				
UNFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Alejandro Bertagni et al.					
(Use several sheets if necessary)			FILING 10/27/2003		GROUP Not yet assigned				
13	37.	TO A STENDED		S. PATENT	DOCUMENTS	•			
*EXAMINER	DOCUMENT NUMBER DATE			NAME	CLASS	SUBCLASS	FILING DA		
		4,138,593	2/6/1979	Hasselb	ach et al.	179	1	74.7.	
		4,184,563	1/22/1980	Bertagn	ii	181	171		<u>.</u> .
		4,257,325	3/24/1981	Bertagni		181	172		
		4,328,400	5/4/1982	Shimada et al.		179	116		
		4,413,160	11/1/1983	Ohyaba et al.		179	115		
		4,760,510	7/26/1988	Lahti		362	365		***************************************
		4,820,077	4/11/1989	Sawada et al.		403	402		
		4,837,838	6/6/1989	Thigpen et al.		381	158		
		4,850,023	7/18/1989	Yarush		381	67		
		4,928,312	5/22/1990	Hill		381	192		
		4,933,975	6/12/1990	Button		381	192		
			FORE	IGN PATE	NT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANS YES	NO
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		OTHER DOCUME	NTS (Includin	g Author	, Title, Date, Pertinent F	Pages, Etc	·.).		
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EXAMINER	₹				DATE CONSIDERED				

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ATTY DOCKET NO. SERIAL NO. 0SBX-100754 10/696,721 TATION DISCLOSURE CITATION Alejandro Bertagni et al. (Use see al sheets if necessary) FILING GROUP

JAN 3 0 2004 10/27/2003 Not yet assigned **U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE SUBCLASS DOCUMENT NUMBER DATE NAME CLASS INITIAL IF APPROPRIATE 4,997,058 3/5/1991 Bertagni 181 166 5,007,707 4/16/1991 Bertagni 350 118 5,425,107 6/13/1995 Bertagni et al. 381 202 5,574,796 11/12/1996 381 Keezer 188 5,690,423 11/25/1997 Hentz et al. 362 365 5,937,073 8/10/1999 Van Gieson 381 391 **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT NUMBER DATE SUBCLASS COUNTRY CLASS YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DATE CONSIDERED EXAMINER

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820 (also form PTO-1449)